

# Department of Commerce

# United States Senate

Senator Tom Coburn, MD

April 13, 2011

**Via U.S. Mail and Electronic Mail**

The Honorable Gary Locke  
Secretary  
United States Department of Commerce  
Room 5421  
Fourteenth Street and Constitution Avenue, N.W.  
Washington, D.C. 20230

Dear Secretary Locke:

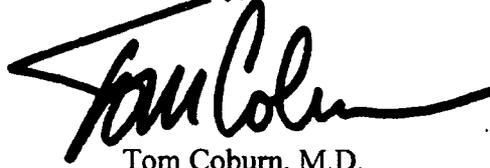
I am interested in obtaining information on certain programs administered by the United States Department of Commerce and would appreciate your assistance. The Department of Commerce provides a number of programs and services that assist Americans in a number of ways. These programs and grants include, but are not limited to:

- Fisheries Finance Program;
- Fisherman's Contingency Fund;
- Integrated Ocean Observing System Grants;
- Marine Fisheries Initiative Grants;
- Ocean Exploration Grants;
- Unallied Industry Project Grants;
- Unallied Management Project Grants;
- Unallied Science Program Grants;
- Undersea Research Grants;
- Programs and Opportunities provided by the Minority Business Development Agency; and
- All Congressionally Identified Awards and Projects, including International Trade Administration Special Projects.

Please provide the following information for all individuals or entities that received any benefits from the above listed programs during fiscal years 2007, 2008, 2009, and 2010, who also reported an adjusted gross income of \$1,000,000 or greater: (1) name of recipient; (2) total adjusted gross income for the year(s) in which the individual received benefits; (3) name of each program the individual received benefits through; (4) amount of benefits received from each program; and (5) total amount of benefits received during each year. This information should be produced in an electronic usable format, such as Microsoft Excel.

Please provide the above information by April 29, 2011. If you have any questions, including the format in which the information should be produced, please contact

Sincerely,

A handwritten signature in black ink, appearing to read "Tom Coburn". The signature is stylized with a large, sweeping initial "T" and a long, horizontal flourish at the end.

Tom Coburn, M.D.  
U.S. Senator



**UNITED STATES DEPARTMENT OF COMMERCE**  
**Assistant Secretary for Legislative**  
**and Intergovernmental Affairs**  
Washington, D.C. 20230

September 30, 2011

The Honorable Tom Coburn, M.D.  
Ranking Member  
Permanent Subcommittee on Investigations  
Committee on Homeland Security and Governmental Affairs  
United States Senate  
Washington, DC 20510

Dear Ranking Member Coburn:

This letter is in response to your letter dated April 13, 2011, to Secretary Locke seeking information regarding federal assistance awarded to recipients with an adjusted gross income of \$1,000,000 or greater. I am responding on behalf of the Commerce Department.

Generally, the Commerce Department (the Department) does not collect information regarding adjusted gross income of individuals or entities. However, there are two exceptions.

First, income information may be an element for issuing awards in assistance programs that are statutorily authorized to address specific economic need, such as disaster assistance. In those specific instances, the funding generally is awarded to state agencies, which then create the mechanisms to distribute the funds.

Second, the National Oceanic and Atmospheric Administration (NOAA) provides assistance to individuals and entities under the Fisheries Finance Program (FFP), a loan program that makes financing available to U.S. citizens who otherwise qualify for financing of the construction of fishing vessels, shoreside processing facilities, aquaculture facilities, and the purchase of Individual Fishing Quota (IFQ) shares. The goal of the FFP is to make loans to applicants who are fiscally responsible. These loans have a negative subsidy rate, use no appropriated funds, and the government ultimately makes money on these loans. As such, gross income is one of many factors NOAA considers in making these loans. However, this information is not readily retrievable and is largely personal privacy information; therefore, we are unable to disclose it.

Your staff expressed an interest in receiving more general information about the grant programs listed in your letter. The government website [www.usaspending.gov](http://www.usaspending.gov) provides considerable information on government grant programs. The attached document provides links to the programs you listed, as well as instructions on how to easily retrieve information regarding the recipient, year, and amount of the grant. In addition, NOAA provides annual information on NOAA grants, organized by individual state. That website is <http://www.legendary.noaa.gov/NGIYS/index.html>. There, you will find the annual amount of grant and contract funding provided to individual named recipients within each state.

If you have any further questions, please contact me at (

Sincerely,

A handwritten signature in black ink, appearing to read "April Boyd". The signature is fluid and cursive, with the first name "April" and last name "Boyd" clearly distinguishable.

April Boyd  
Assistant Secretary for Legislative  
and Intergovernmental Affairs

CC: The Honorable Carl Levin  
Chairman  
Permanent Subcommittee on Investigations  
Committee on Homeland Security and Governmental

**Fisheries Finance Program: CFDA No. 11.415**

[If this hyperlink does not pull up the exact information, do a search for "11.415" and use the following filters – circled in red – in the "Advanced Search" tab: "Major Agency: Department of Commerce"; "Fiscal Year: 2007"; "Fiscal Year: 2008"; "Fiscal Year: 2009"; "Fiscal Year: 2010"].

The screenshot shows the USA Spending website interface. At the top, there is a navigation menu with links for Home, News, Summaries, Trends, Data Feeds, Opportunities, Sub-award Documents, and FAQs. The main header features the USA Spending logo and a search bar. Below the header, the 'Prime Award Spending Data' section is active, with a sub-link for 'View Sub-award Data'. A search filter box is circled in red, containing the following criteria: Major Agency: Department of Commerce, Fiscal Year: 2010, Fiscal Year: 2009, Fiscal Year: 2008, Fiscal Year: 2007, and Search Term: 11.415. The search results section shows 'Found 0 results in the Sub-award Data.' and a list of filters to narrow the search, including 'By Type of Spending' (Loans: 62), 'By Agency' (Department of Commerce: 62), 'By Recipient' (BLOUNT SEAFOOD: 6, DAYBROOK FISHERIES: 0, CARTER FISHERIES: 3, CAPE BLANCO FISHING LP: 2, CAPE POINT BAITS: 2), and 'By Fiscal Year' (2009: 25, 2008: 10). A map of the United States is displayed on the left, with a legend indicating a range from \$-2.8B to \$0.0. At the bottom, the total number of transactions is 62, with 25 displayed on the current page. The total dollars obligated is \$-6,692,034.

**Fisherman's Contingency Fund; CFDA No. 11.408**

[If this hyperlink dose not pull up the exact information, do a search for "11.408" and use the following filters – circled in red – in the "Advanced Search" tab: "Major Agency: Department of Commerce"; "Fiscal Year: 2007"; "Fiscal Year: 2008"; "Fiscal Year: 2009"; "Fiscal Year: 2010"].

The screenshot shows the USASpending.gov website interface. At the top, there is a navigation bar with links for Home, News, Summaries, Trends, Data Feeds, Opportunities, Sub-award Documents, and FAQs. The main header features the USASpending.gov logo and a search bar. Below the header, the page is titled "Prime Award Spending Data" with a link to "View Sub-award Data". A search filter section is circled in red, containing the following filters: "Major Agency: Department of Commerce", "Fiscal Year: 2010", "Fiscal Year: 2009", "Fiscal Year: 2008", "Fiscal Year: 2007", and "Search Term: 11.408". Below the filters, there are tabs for "Map", "Timeline", and "Advanced Search". A map of the United States is displayed, with a legend on the right listing various territories and states. Below the map, a message states "No search results found in response to your search criteria." and provides suggestions: "Make sure all words are spelled correctly" and "Try different keywords". The bottom of the page shows the Windows taskbar with the start button and several open applications.



**Marine Fisheries Initiative Grants; CFDA No. 11.433**

[If this hyperlink dose not pull up the exact information, do a search for "11.433" and use the following filters – circled in red – in the "Advanced Search" tab: "Major Agency: Department of Commerce"; "Fiscal Year: 2007"; "Fiscal Year: 2008"; "Fiscal Year: 2009"; "Fiscal Year: 2010"].

The screenshot shows the USA Spending website interface. At the top, there is a navigation bar with links for Home, News, Summaries, Trends, Data Feeds, Opportunities, Sub-award Documents, and FAQs. The main header features the USA Spending logo and a search bar. Below the header, the 'Prime Award Spending Data' section is active, with a 'View Sub-award Data' link. The filters section is circled in red and includes: 'Clear All', 'Major Agency: Department of Commerce', 'Fiscal Year: 2010', 'Fiscal Year: 2009', 'Fiscal Year: 2008', 'Fiscal Year: 2007', and 'Search Term: 11.433'. Below the filters, there are tabs for 'Map', 'Timeline', and 'Advanced Search'. A map of the United States is displayed, with a legend for 'Map List View' showing various territories. To the right of the map, there is a 'Narrow down your search:' section with filters for 'By Type of Spending' (Grants: 73, Contracts: 1), 'By Agency' (Department of Commerce: 74), 'By Extent Competed' (Not Competed under SAP: 1), and 'By Recipient' (Louisiana State Univer., University of Florida, Marine Env Sciences Co., Florida Fish and Wildl., Gulf and South Atlanti.). At the bottom, there is a summary section showing 'Total Dollars: \$7,878,500' and 'Transactions: 1 - 25 of 74'. The page is viewed in Internet Explorer on Tuesday, September 06, 2011.

**Ocean Exploration Grants; CFDA No. 11.011**

[If this hyperlink dose not pull up the exact information, do a search for "11.011" and use the following filters – circled in red – in the "Advanced Search" tab: "Major Agency: Department of Commerce"; "Fiscal Year: 2007"; "Fiscal Year: 2008"; "Fiscal Year: 2009"; "Fiscal Year: 2010"].

The screenshot shows the USA Spending website interface. At the top, there is a search bar and navigation links. The main content area displays "Prime Award Spending Data" with a search filter section circled in red. The filters include: Major Agency: Department of Commerce, Fiscal Year: 2010, Fiscal Year: 2009, Fiscal Year: 2008, Fiscal Year: 2007, and Search Term: 11.011. Below the filters, there is a map of the United States and a list of filters for narrowing the search. The search results show 12 grants from the Department of Commerce, with a total of \$1,177,180 in obligations. The results are sorted by Dollars Obligated, and there are 12 transactions in total.

**Filters:** Clear All Major Agency: Department of Commerce Fiscal Year: 2010 Fiscal Year: 2009 Fiscal Year: 2008 Fiscal Year: 2007 Search Term: 11.011

**Map List View**

- Rhode Island
- Delaware
- Maryland
- District of Columbia
- American Samoa
- Guam
- Arizona
- Alaska
- N. Mariana Islands
- Puerto Rico
- U. S. Outlying Islands
- U. S. Virgin Islands

Found 0 results in the Sub-award Data.

**Narrow down your search:**

- By Type of Spending**
  - Grants 12
- By Agency**
  - Department of Commerce 12
  - [Show more Agencies](#)
- By Recipient**
  - WOODS HOLE OCEANOGRAPH 3
  - ARIZONA STATE UNIVERSI 1
  - MARINE BIOLOGICAL LABO 1
  - NATIONAL ACADEMY OF SC 1
  - PLANNING MARYLAND DEPT. 1
  - [Show more Recipients](#)
- By Fiscal Year**
  - 2010 12

**Total Dollars:** \$1,177,180  
**Transactions:** 1 - 12 of 12



**Unallied Management Project Grants; CFDA No. 11.454**

[If this hyperlink dose not pull up the exact information, do a search for "11.454" and use the following filters – circled in red – in the "Advanced Search" tab: "Major Agency: Department of Commerce"; "Fiscal Year: 2007"; "Fiscal Year: 2008"; "Fiscal Year: 2009"; "Fiscal Year: 2010"].

The screenshot shows the USA Spending website interface. At the top, there is a search bar and navigation links. The main content area is titled "Prime Award Spending Data" and shows search results for CFDA No. 11.454. The search filters are circled in red:

- Clear All
- Major Agency: Department of Commerce
- Fiscal Year: 2010
- Fiscal Year: 2009
- Fiscal Year: 2008
- Fiscal Year: 2007
- Search Term: 11.454

The search results show a total of 125 transactions. The results are filtered by "Department of Commerce" and "By Fiscal Year" (2009 and 2010). The total dollars obligated is \$283,183,321. The results are sorted by "Dollars Obligated" and displayed in "Summary View".

Map: Timeline Advanced Search

Found 0 results in the Sub-award Data.

Narrow down your search:

- By Type of Spending
  - Grants 125
- By Agency
  - Department of Commerce 125
- By Recipient
  - GULF AID SOUTH ATLANTA 7
  - FLORIDA FISH AND WILDL 8
  - SOUTH CAROLINA DEPT OF 6
  - UNIVERSITY OF HAWAII 5
  - AMERICAN MUSEUM OF NAT 4
- By Fiscal Year
  - 2010 47
  - 2009 45

Total Dollars: \$283,183,321  
Transactions: 1 - 25 of 125

**Unallied Science Program Grants; CFDA No. 11.472**

[If this hyperlink dose not pull up the exact information, do a search for "11.472" and use the following filters – circled in red – in the "Advanced Search" tab: "Major Agency: Department of Commerce"; "Fiscal Year: 2007"; "Fiscal Year: 2008"; "Fiscal Year: 2009"; "Fiscal Year: 2010"].

The screenshot shows the USA Spending website interface. At the top, there is a navigation bar with links for Home, News, Summaries, Trends, Data Feeds, Opportunities, Sub-award Documents, and FAQs. The main header features the USA Spending logo and search options for Prime Award and Sub-award data. The current view is 'Prime Award Spending Data' with a filter for 'Major Agency: Department of Commerce' and 'Fiscal Year: 2007, 2008, 2009, 2010'. A map of the United States is displayed, showing spending distribution by state. To the right, there are filters for 'By Type of Spending' (Grants: 212) and 'By Recipient' (Department of Commerce: 212). At the bottom, the search results are sorted by 'Dollars Obligated' and show 1 transaction totaling \$109,540,054.

**Prime Award Spending Data** > View Sub-award Data

Filter:  Major Agency: Department of Commerce Fiscal Year: 2010 Fiscal Year: 2009  
Fiscal Year: 2008 Fiscal Year: 2007 Search Term: 11.472

Map Timeline **Advanced Search**

Found 0 results in the Sub-award Data.

**Narrow down your search:**

- By Type of Spending
  - Grants 212
- By Agency
  - Department of Commerce 212
- By Recipient
  - MAINE DEPARTMENT OF MA. 22
  - FLORIDA FISH AND WILDL. 20
  - SOUTH CAROLINA DEPT OF. 14
  - NATURAL RESOURCES GEOR 10
  - MASSACHUSETTS DIV OF M. 7
- By Fiscal Year
  - 2010 70

Total Dollars: **\$109,540,054** Transactions: 1 - 25 of 212

**Undersea Research Grants; CFDA No. 11.430**

[If this hyperlink dose not pull up the exact information, do a search for "11.430" and use the following filters – circled in red – in the "Advanced Search" tab: "Major Agency: Department of Commerce"; "Fiscal Year: 2007"; "Fiscal Year: 2008"; "Fiscal Year: 2009"; "Fiscal Year: 2010"].

Search | USAspending.gov Windows Internet Explorer  
http://www.usaspending.gov/search?query=&searchType=FormFields=eyJNYWpvcjFnZW5jeSI6WyJEZ28hcnRlZW50IG9uZGVuZWw1cmNld0s1CjU2S09mfjUuZ0Z0FnZW5jeSUNZ...  
An Official Web Site of the United States Government  
Home News Summaries Trends Data Feeds Opportunities Sub-award Documents FAQs Feedback Help SHARE  
USA SPENDING .GOV  
Prime Award Advanced Search Sub-award Advanced Search  
Prime Award Spending Data View Sub-award Data  
Filters: **Clear All** Major Agency: Department of Commerce Fiscal Year: 2010 Fiscal Year: 2009  
Fiscal Year: 2008 Fiscal Year: 2007 Search Term: 11.430  
Map Timeline Advanced Search  
Found 0 results in the Sub-award Data.  
Narrow down your search:  
By Type of Spending  
 Grants 26  
By Agency  
 Department of Commerce 26  
Show more Agencies  
By Recipient  
 UNIVERSITY OF MISSISSI... 7  
 UNIVERSITY OF HAWAII 6  
 UNIVERSITY OF ALASKA E... 3  
 UNIVERSITY OF NORTH CA... 3  
 WOODS HOLE OCEANOGRAPH... 3  
Show more Recipients  
By Fiscal Year  
 2009 12  
Please note that from any transaction listed below, you may click on the Recipient name, Agency, Sub-Agency, CFDA Program or Product/Service Code to view Summary level information for that criteria.  
Sort By: Dollars Obligated Transactions/page: 25 GO Summary View Export  
2 | Next Last  
Total Dollars: \$39,136,094 Transactions: 1 - 25 of 26  
start Ink Microsoft Outlook Search Results for US Search: 11.430 pend Internet 100%



**Programs and Opportunities provided by the Minority Business Development Agency (continued):**

**Native American Business Enterprise Centers; CFDA No. 11.801**

[If this hyperlink dose not pull up the exact information, do a search for "11.801" and use the following filters – circled in red – in the "Advanced Search" tab: "Major Agency: Department of Commerce"; "Fiscal Year: 2007"; "Fiscal Year: 2008"; "Fiscal Year: 2009"; "Fiscal Year: 2010"].

The screenshot shows the USAspending.gov website interface. The search filters are as follows:

- Major Agency: Department of Commerce
- Fiscal Year: 2010
- Fiscal Year: 2009
- Fiscal Year: 2008
- Fiscal Year: 2007
- Search Term: 11.801

The search results show 45 transactions with a total of \$7,846,841. The results are filtered by Department of Commerce and include recipients such as the National Center for AM, United Tribes Technical, National Center for AM, Rural Edit of Oklahoma, and Langston University. The fiscal years 2007 and 2009 are selected.

**Programs and Opportunities provided by the Minority Business Development Agency (continued):**

**Minority Business Resource Development; CFDA No. 11.802**

[If this hyperlink dose not pull up the exact information, do a search for "11.802" and use the following filters – circled in red – in the "Advanced Search" tab: "Major Agency: Department of Commerce"; "Fiscal Year: 2007"; "Fiscal Year: 2008"; "Fiscal Year: 2009"; "Fiscal Year: 2010"].

The screenshot shows the USA Spending website interface. At the top, there is a navigation menu with links like Home, News, Summaries, Trends, Data Feeds, Opportunities, Sub-award Documents, and FAQs. The main header features the USA SPENDING GOV logo and a search bar. Below the header, the page is titled "Prime Award Spending Data" with a link to "View Sub-award Data".

The search filters are circled in red and include:
 

- Major Agency: Department of Commerce
- Fiscal Year: 2010
- Fiscal Year: 2009
- Fiscal Year: 2008
- Fiscal Year: 2007
- Search Term: 11.802

Below the filters, there is a map of the United States with a legend for "Map List View" showing various territories. To the right of the map, there is a section for "Narrow down your search:" with filters for "By Type of Spending" (Grants: 4), "By Agency" (Department of Commerce: 4), "By Recipient" (JAMAICA CHAMBER OF COM.: 1, MCALLEN ECONOMIC DEVEL.: 1, MISSISSIPPI BAND OF CH.: 1, WEST LIBERTY UNIVERSIT.: 1), and "By Fiscal Year" (2010: 4, 2007: 0, 2008: 0, 2009: 0).

At the bottom of the results, it shows:
 

- Total Dollars: \$1,100,000
- Transactions: 1 - 4 of 4

**Programs and Opportunities provided by the Minority Business Development Agency (continued):**

**Minority Business Opportunity Center (MBOC); CFDA No. 11.803**

[If this hyperlink dose not pull up the exact information, do a search for "11.803" and use the following filters – circled in red – in the "Advanced Search" tab: "Major Agency: Department of Commerce"; "Fiscal Year: 2007"; "Fiscal Year: 2008"; "Fiscal Year: 2009"; "Fiscal Year: 2010"].

The screenshot shows the USAspending.gov website interface. At the top, there is a navigation bar with links for Home, News, Summaries, Trends, Data Feeds, Opportunities, Sub-award Documents, and FAQs. The main content area is titled "Prime Award Spending Data" and includes a search bar and filters. The filters are circled in red and include: Major Agency: Department of Commerce, Fiscal Year: 2010, Fiscal Year: 2009, Fiscal Year: 2008, Fiscal Year: 2007, and Search Term: 11.803. Below the filters, there is a map of the United States and a list of search results. The search results are filtered by Department of Commerce and show 40 results. The results include: CHICAGO MINORITY BUSIN. (10), CITY OF LOS ANGELES (5), LOUISIANA MINORITY BUS. (5), PERFORMANCE BASED SOLU. (5), and SOUTH REGIONS MINORITY. (5). The page also displays the total dollars obligated as \$8,329,672 and the number of transactions as 1 - 25 of 40.

## All Congressionally Identified Awards and Projects, including International Trade Administration Special Projects:

### ITA Export promotion and Special Projects; CFDA No. 11.113

[If this hyperlink dose not pull up the exact information, do a search for "11.113" and use the following filters – circled in red – in the "Advanced Search" tab: "Major Agency: Department of Commerce"; "Fiscal Year: 2007"; "Fiscal Year: 2008"; "Fiscal Year: 2009"; "Fiscal Year: 2010"].

The screenshot shows the USA Spending website interface. The search filters are circled in red:

- Filter: **Clear All** Major Agency: Department of Commerce Fiscal Year: 2010 Fiscal Year: 2009
- Fiscal Year: 2008 Fiscal Year: 2007 Search Term: 11.113

The search results show 0 results in the Sub-award Data. The filters are:

- By Type of Spending: Grants (43)
- By Agency: Department of Commerce (43)
- By Recipient: BURLINGTON IHD (8), WARREN (6), PENDLETON I WOOLEN MILLS (4), JAMAICA BUSHNESS RESOU. (3), THINKGLOBAL (3)
- By Fiscal Year: 2009 (14), 2008 (11)

Summary statistics:

- Total Dollars: \$34,618,123
- Transactions: 1 - 25 of 43

**All Congressionally Identified Awards and Projects, including International Trade Administration Special Projects (continued):**

**NOAA Congressionally Identified Awards and Projects; CFDA No. 11.469**

[If this hyperlink dose not pull up the exact information, do a search for "11.469" and use the following filters – circled in red – in the "Advanced Search" tab: "Major Agency: Department of Commerce"; "Fiscal Year: 2007"; "Fiscal Year: 2008"; "Fiscal Year: 2009"; "Fiscal Year: 2010"].

The screenshot shows the USA Spending website interface. At the top, there is a navigation bar with links for Home, News, Summaries, Trends, Data Feeds, Opportunities, Sub-award Documents, and FAQs. The main header features the USA Spending logo and a search bar. Below the header, the "Prime Award Spending Data" section is active, showing search filters: Major Agency: Department of Commerce, Fiscal Year: 2010, Fiscal Year: 2009, Fiscal Year: 2008, Fiscal Year: 2007, and Search Term: 11.469. A map of the United States is displayed, with a legend listing various territories and states. To the right, a sidebar titled "Narrow down your search:" provides filters for "By Type of Spending" (Grants: 153), "By Agency" (Department of Commerce: 153), "By Recipient" (CONSORTIUM FOR OCEAN L: 10, REGENTS OF UNIVERSITY: 6, AMERICAN METEOROLOGICAL: 5, JASON PROJECT: 4, MONTEREY BAY AQUARIUM: 4), and "By Fiscal Year" (2009: 62, 2010: 41). At the bottom, the total amount is \$79,091,546 and there are 1-25 of 153 transactions. The page is viewed in Internet Explorer on Tuesday, September 06, 2011.