

Institute of Peace

United States Senate

Senator Tom Coburn, MD

May 4, 2010

Via U.S. Mail and Email

Mr. Richard H. Solomon
President
United States Institute of Peace
2301 Constitution Avenue, N.W.
Washington, D.C. 20037

Ambassador Solomon:

The United States Institute of Peace administers a number of important programs and grants to individuals to advance certain interests on behalf of the United States, mainly concerning conflict resolution. I am interested in the learning more about the recipients of these grants, which include, but are not limited to: (1) the Annual Grant Competition; (2) the Priority Grant Competition; and the (3) Annual Senior Fellowship Competition.

I am interested in the individuals that receive funds from these programs and grants. For the programs listed above, please provide the following information for all individuals that received any payment from these programs during Fiscal Years 2007, 2008, 2009 and 2010, who also reported income of \$1,000,000 or greater through any agency measurement of income (such as Adjusted Gross Income): (1) name of individual; (2) total reported income for the Fiscal Year in which the payment was made; (3) name of each program the individual received benefits through; (4) amount of benefits received from each program; and (5) total amount of all program benefits received during each Fiscal Year. This information should be produced in an electronic usable format, such as Microsoft Excel.

Please provide the above information by May 18, 2011. If you have any questions, including the format in which the information should be produced, please contact :

Sincerely,



Tom Coburn, M.D.
United States Senator



UNITED STATES INSTITUTE OF PEACE

An independent institution established by Congress to strengthen the nation's capacity
to promote peaceful resolution of international conflicts

OFFICE OF THE PRESIDENT
(202) 429-3835 DIRECT

June 15, 2011

The Honorable Tom Coburn, M.D.
U.S. Senate
Washington, D.C. 20510

Via hand delivery and e-mail:

Dear Senator Coburn:

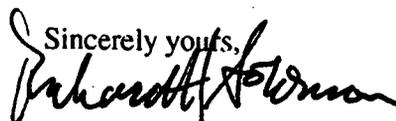
Thank you for your letter inquiring about programs and grants to individuals administered by the U. S. Institute of Peace. Your inquiry referenced the Institute's Annual Grant Competition, the Priority Grant Competition and the Jennings Randolph Senior Fellowship Program. We also have a Jennings Randolph Peace Scholars Program. You asked for information pertaining to the income level of individuals receiving these grants and fellowships.

The Annual Grant Competition and the Priority Grant Competition make grant awards only to institutions, not to individuals.

The Jennings Randolph Senior Fellowships are awarded to scholars, practitioners, policy analysts, and other experts for the opportunity to spend 10 months in residence at the Institute to write about pressing international peace and security challenges. The Peace Scholar Dissertation fellowship is a non-residential scholarship awarded to students enrolled at U.S. universities who are writing doctoral dissertations on topics related to peace, conflict, and international security. The Institute asks the recipients for their salary information. The average salary for senior fellowship recipients during this period was approximately \$100,000. Peace scholars are students writing dissertations and generally have very limited incomes.

No recipient during fiscal years 2007-2010 reported an income of over \$250,000.

Please let me know if you need any additional information, or contact the Institute's Director of Congressional Relations, ♣

Sincerely yours,


Richard H. Solomon
President

Small Business Administration

United States Senate

Senator Tom Coburn, MD

February 3, 2011

Via U.S. Mail and Electronic Mail

The Honorable Karen G. Mills
Administrator
United States Small Business Administration
409 Third Street, S.W.
Washington, D.C. 20416

Dear Administrator Mills:

The United States Small Business Administration ("SBA") provides and guarantees loans and administers other programs that provide important financing for individual Americans and businesses. These loans and programs include:

- **Disaster Loans**, including: Personal Property Disaster Loans; Real Property Disaster Loans; Physical Disaster Loans; Economic Injury Disaster Loans; and Military Reservist Economic Injury Disaster Loans.
- **Small Business Financial Programs**, including: 7(a) Small Business Loans; Prequalification 7(a) Small Business Loans; Certified Development Company/504 Small Business Loans; SBA Express Loans; Community Express Loans; Patriot Express Loans; and Microloans.
- **Special Purpose Loan Guarantees**, including: America's Recovery Capital Loan Program; Export Working Capital Loans; Export Express; International Trade Loans; Community Adjustment and Investment Program; Employee Trusts; Pollution Control Loans; and CAPLines Programs.

For each program listed above, please provide the following information for all individuals or businesses that received any payment from these programs during Fiscal Years 2007, 2008, 2009 and 2010, which also had an adjusted gross income of \$1,000,000 or greater: (1) name of individual or business entity; (2) total adjusted gross income for the Fiscal Year in which the payment was made; (3) name of each program the individual or business received payments through; (4) amount of payments received from each program; and (5) total amount of all program payments received during each Fiscal Year.

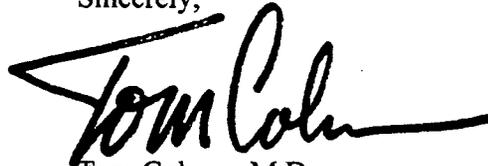
SBA also administers several special contracting programs, including:

- 8(a) Program;
- Small Disadvantaged Businesses;
- Historically Underutilized Business Zones; and
- Service-Disabled Veterans.

With regard to these programs, please provide the following information for all individuals or businesses that participated in these programs during Fiscal Years 2007, 2008, 2009 and 2010, which also had an adjusted gross income of \$1,000,000 or greater: (1) name of individual or business; (2) total adjusted gross income for the Fiscal Year in which the individual or business participated in the program; (3) name of each program the individual or business participated; (4) amount each of contract received by the participant; and (5) total amount of all benefits received during each Fiscal Year.

All information requested above should be produced in an electronic usable format, such as Microsoft Excel. Please provide the above information by February 18, 2011. If you have any questions, including the format in which the information should be produced, please contact

Sincerely,

A handwritten signature in black ink, appearing to read "Tom Coburn", with a long horizontal flourish extending to the right.

Tom Coburn, M.D.
United States Senator



U.S. SMALL BUSINESS ADMINISTRATION
WASHINGTON, DC 20416

March 31, 2011

The Honorable Tom Coburn, MD
United States Senate
Russell Senate Office Building, Room 172
Washington, DC 20510

Dear Senator Coburn:

This letter is in response to your correspondence dated February 3, 2011. In that letter, you requested information on the adjusted gross income (AGI) of participants in various Small Business Administration (SBA) loan and contracting programs. Because SBA does not examine AGI information for borrowers or contracting program participants, we have worked with your staff over the past few weeks to provide relevant, disclosable information pursuant to your request.

With regard to disaster loans, when deciding whether to underwrite a disaster victim's loan application, SBA examines—among other factors—the borrower's "cash available" to determine their repayment ability.¹ While different than AGI, it does provide information on a loan applicant's financial resources. At your staff's request, we conducted a search of our disaster loan databases to determine the number of disaster loan recipients that showed "cash available" of \$1,000,000 or more. A spreadsheet of those business loans is attached and will be emailed to the relevant member of your staff in Excel format, as requested in your letter.²

Regarding the other SBA programs described in your letter, as stated above, SBA does not maintain or examine AGI (or similar) information for applicants or borrowers in our Financial Assistance programs.³ Similarly, SBA does not maintain or examine AGI (or similar) information for firms or individuals that participate in most of SBA's Federal contracting programs.⁴ Therefore, no "data run" could be made with respect to borrowers/participants in those programs.

¹ An important distinction should be made with regard to disaster loan recipients. Unlike borrowers in our other loan programs, disaster loans may be made to businesses and individuals who—based on their repayment ability—could obtain "credit elsewhere" (i.e., through a conventional bank loan). Although eligible for SBA loans, these "credit elsewhere" borrowers must repay their loans within three years and are also subject to a higher interest rate than SBA's "no credit elsewhere" borrowers.

² Due to applicable privacy laws that protect the personal financial information of SBA borrowers, the list does not include the names of the borrower firms or their owner(s). There were no records of SBA disaster home loans where the applicant showed cash available of \$1,000,000 or more.

³ Referred to as "Small Business Financial Programs" and "Special Purpose Guaranties" in your letter.

⁴ As we discussed with your staff, SBA does review individual and business tax returns in the 8(a) Business Development Program; however, those returns are not maintained in a readily-available electronic format and would require a file-by-file review of over 6,000 case files maintained in SBA District Offices

The Honorable Tom Coburn, MD
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We hope these responses satisfy your request, but please feel free to contact me if you have any questions or concerns.

Sincerely,



Nicholas J. Coutsos
Assistant Administrator
Office of Congressional & Legislative Affairs

Attachment

throughout the country. Moreover, individual tax returns would be protected by relevant privacy laws, and business returns would require releases.



**U.S. Small Business Administration
Office of Disaster Assistance**

Senator Tom Coburn's Request for Individuals or Businesses with Adjusted Gross Income of \$1,000,000 or Greater

Cash Available	Loan Type	Loan Amount	Loan Approval Date
\$1,602,000	Disaster Business Loan	\$1,000,000	11/2/2006
\$1,577,700	Disaster Business Loan	\$1,000,000	11/2/2006
\$1,389,300	Disaster Business Loan	\$83,700	11/15/2006
\$1,197,700	Disaster Business Loan	\$952,900	12/13/2006
\$1,053,900	Disaster Business Loan	\$1,500,000	3/8/2007
\$1,048,700	Disaster Business Loan	\$102,900	4/6/2007
\$1,047,500	Disaster Business Loan	\$11,600	4/6/2007
\$4,372,700	Disaster Business Loan	\$1,500,000	7/17/2007
\$3,872,500	Disaster Business Loan	\$400,000	7/18/2007
\$1,661,100	Disaster Business Loan	\$704,000	12/19/2007
\$1,005,800	Disaster Business Loan	\$820,200	8/2/2008
\$1,188,500	Disaster Business Loan	\$292,100	8/12/2008
\$1,067,800	Disaster Business Loan	\$333,100	10/31/2008
\$1,926,600	Disaster Business Loan	\$946,400	12/3/2008
\$1,081,700	Disaster Business Loan	\$922,700	12/19/2008
\$4,119,100	Disaster Business Loan	\$2,000,000	3/10/2009
\$1,179,800	Disaster Business Loan	\$158,000	4/13/2009
\$1,179,700	Disaster Business Loan	\$377,400	4/14/2009
\$7,489,500	Disaster Business Loan	\$41,000	8/6/2009
\$1,002,300	Disaster Business Loan	\$268,100	9/18/2009
\$2,481,100	Disaster Business Loan	\$2,000,000	12/31/2009
\$1,308,400	Disaster Business Loan	\$570,800	6/10/2010
\$2,647,600	Disaster Business Loan	\$461,200	6/11/2010
\$1,488,100	Disaster Business Loan	\$2,000,000	8/30/2010
\$1,057,300	Disaster Business Loan	\$2,000,000	9/23/2010
\$2,979,300	Disaster Business Loan	\$514,600	12/22/2010

* There were no records for SBA Disaster Home Loans meeting the Adjusted Gross Income of \$1,000,000 or Greater.

Report Date: 3/16/2011